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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/573,523	Stephan Monier	BLO-113/PCT/US

Lumens IPS
 2345 Yale Street
 2nd Floor
 Palo Alto, CA 94306

received
 Lumen 11/16/06



INTERNATIONAL APPLICATION NO.	
PCT/FR04/02407	
I.A. FILING DATE	PRIORITY DATE
09/24/2004	09/24/2003

CONFIRMATION NO. 5456

371 FORMALITIES LETTER



OC000000021197331

Date Mailed: 11/13/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/23/2006
- English Translation of the IA filed on 03/23/2006
- Copy of the International Search Report filed on 03/23/2006
- U.S. Basic National Fees filed on 03/23/2006
- Priority Documents filed on 03/23/2006
- Non-English Language Application filed on 03/23/2006
- Specification filed on 03/23/2006
- Claims filed on 03/23/2006
- Abstracts filed on 03/23/2006
- Drawings filed on 03/23/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:



IAP6 Rec'd PCT/PTO 14 MAY 2007

Modified Version of PTO/SB/21

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/573523
	Filing Date	3/23/2006
	First Named Inventor	Stephan Monier
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	BLO-113/PCT/US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawings	<input type="checkbox"/> After Allowance Comm. to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related papers	<input type="checkbox"/> Appeal Comm. to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Comm. to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/Declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Corresp. Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other (Specified below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Doc(s)	<input type="checkbox"/> Landscape Table on CD	
<input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application	Other: Exhibit 1 (3 pgs) Exhibit 2 (1 pg) Exhibit 3 (3 pgs) & Exhibit 4 (1pg) _____ _____ _____	
<input checked="" type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
FIRM NAME	LUMEN INTELLECTUAL PROPERTY SERVICES, Inc.	
SIGNATURE		
PRINTED NAME	Ron Jacobs	
DATE	5/11/07	REGISTRATION NUMBER 50,142

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:	
SIGNATURE	
PRINTED NAME	Abigail Capulong
DATE	5/11/07

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/573,523	PCT/FR04/02407	BLO-113/PCT/US

FORM PCT/DO/EO/905 (371 Formalities Notice)



**FEE TRANSMITTAL
for FY 2005**

<input type="checkbox"/> Applicant claims small entity status. See CFR 1.27.	Application Number	10/573523
	Filing Date	3/23/2006
	First Named Inventor	Stephan Monier
	Art Unit	
	Examiner Name	
TOTAL AMOUNT OF PAYMENT	\$1720	Attorney Docket Number BLO-113/PCT/US

METHOD OF PAYMENT (Check all that apply)

- ☐ A check or money order is enclosed to cover the filing fees.
☒ Payment by credit card. Form PTO-2038 is attached.

FEE CALCULATION

1. Basic Filing, Search and Examination Fees

Application Type:	Filing Fees		Search Fees		Examination Fees		Fees Paid (\$)
	Fee(\$)	Fee(\$) <i>Small Entity</i>	Fee(\$)	Fee(\$) <i>Small Entity</i>	Fee(\$)	Fee(\$) <i>Small Entity</i>	
Utility	300	150	500	250	200	100	\$0
Design	200	100	100	50	130	65	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. Excess Claims Fees

2.1 Each claim over 20 or for reissues, each claim over 20 and more than in the original patent \$50 (\$25 small entity)
2.2 Each independent claim over 3, or for reissues, each independent claim more than in the original patent \$200 (\$100 small entity)
2.3 Multiple dependent claims \$360 (\$180 small entity)

Total Claims	Threshold	=	Extra Claims	Fee (\$)	
-	20	=	X	\$50 (\$25)	\$0
Indep. Claims	Threshold	=	Extra Claims	Fee (\$)	
-	3	=	X	\$200 (\$100)	\$0
Multiple Dep. Claims				Fee (\$)	
<input type="checkbox"/>				\$360 (\$180)	

3. Application Size Fee

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof (round up to whole number). See USC 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Fee (\$)	
- 100 =	/50 =	X \$250 (\$125)	\$0

4. Other Fee(s)

Non-English specification (\$130 fee, no small entity discount) _____
Other: Late Surcharge (\$130) + 4 month extension (\$1590.00) **\$1720.00**

SIGNATURE <u>Ron Jacobs</u>			
PRINTED NAME	Ron Jacobs	TELEPHONE	650-424-0100
DATE	5/11/07	REGISTRATION NUMBER	50,142

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.